

Guatemala PCE

Edgar Kestler, September 26, 2017

Funding Request / Grant-Making Update

Grant status (2018 – 2020): Full Review

- HIV/TB: US \$ 19,773,326.00
 - Malaria: US \$ 6,362,560.00
 - TB: US \$ 5,849,483.00
 - RSSH: US \$ 721,293.33
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- New PR (INCAP)
 - Funding requested (Application Process, and Full Review ATM)
 - New MOH authorities

New funding request

- MoH would not continue to be PR for upcoming HIV grant.
- New PR, Nutrition Institute for Central America and Panama (INCAP)
- Transition period from MoH and HIVOS to INCAP at least 6 months and three more to re-start operations.
- Six-month extension to HIVOS to avoid interruption of programmatic activities.
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Stakeholder engagement

Guatemala PCE Stakeholder Engagement Meetings Held to Date

	Date (2017)	Stakeholder group met
1	March 27 - 30	Country visit Global Fund, TERG, IHME, CIESAR with different main stakeholders
2	May 8	CCM and Global Fund
3	May 8	HIV National Program and Global Fund
4	May 9	TB National Program and Global Fund
5	May 9	Malaria National Program and Global Fund
6	May 10	Field Visit to Health Center to visit TB Program
7	May 11	Field Visit to Local Procurement Agent's Warehouse (RAMSA)
8	May 11	Field Visit to HIV Clinic, Roosevelt Hospital
9	June 6	KII visit to Malaria Program
10	June 7	KII with Technical Secretary to follow up on Stakeholder Mapping and pre workshop engagement
11	June 9	KII visit to PR HIVOS
12	June 21	HIV Work Commissions - Funding Request
13	June 22	HIV Work Commissions - Funding Request

14	June 25	CCM and Representative of MoH-MIS expert
15	June 29	CCM Assembly to Evaluate PR Selection Criteria
16	July 14	KII with HIV National Program Pre-Workshop Engagement
17	July 14	KII with TB National Program Pre-Workshop Engagement
18	July 21	KII with Malaria National Program Pre-Workshop Engagement
19	July 24	CCM Meeting with Global Fund-Progress on Funding Request
20	July 24	Global Fund and National Lab
21	July 25	CCM Meeting with Global Fund-Progress on Funding Request
22	July 26	Global Fund PM and TB Program
23	July 26	Global Fund PM and HIV Program
24	July 27	Global Fund PM and Malaria Program - progress and plans for upcoming Funding Request
25	July 28	Global Fund's visit Close-Up Session
26	Aug 28	Overview of ARV logistics and Legal advocacy to improve access

Inception Phase








Evaluation questions

- 18 questions
- 49 sub-questions
- 7 cross-cutting

Stakeholder workshop

- 40+ participants
- August 9th

Inputs from stakeholders at all levels

EVALUATION QUESTIONS		Global	GTm
Funding Request, Grant Application & Making	Nature and role of partnerships in grant application and making process?		X
	Responsiveness to country priorities , perceived needs, and resource allocation decisions?		X
	Barriers and facilitators in negotiating co-financing commitments?		X
	Implementation bottlenecks planned for in grant application and making?		X
	How effectively does CCM coordinate stakeholders and partners ?		X
	CCM ensured program continuation during transition from the current to new principal recipient ?		X
	Key and hard-to-reach populations in grant application and making?		X
SO1 Impact, Transition, Challenging Operating Environment	Trends and distribution of health outputs and outcomes ?		X
	Contribution to improvement in health outputs and outcomes?		X
	STC policy and preparing for sustainability and transition ?		X
	Risk management and oversight mechanisms enabling program results?		X
	<u>MoH</u> strategies (new model for healthcare, “ MIS ”) affect implementation?		X
SO2 Build RSSH	Global Fund investments and health information systems (HIS)?		X
SO3 Human Rights & Gender	Investments promoting and protecting human rights and gender equality ?		X
	Plans, policies and programs with gender responsive programming ?		X
SO4 Mobilize Resources	Trends and distribution of Global Fund resources ?		X
	Drivers of low absorption ?		X
	Sustainability considerations related to Global Fund investments?		X
Strategic Enablers	CCM functioning effectively within scope of Global Fund business model?		X

Priority Evaluation Questions – First 6 Months

Funding request / grant-making process

- What is the nature and role of partnerships between Global Fund and in-country stakeholders participating in the grant application and making processes?
- What are the barriers and facilitators for a successful grant application / making process, including responsiveness to country priorities, perceived needs, and resource allocation decisions?
- To what extent are expected implementation bottlenecks anticipated and planned for in the grant application and making phase?



Planned PCE Activities – Process Evaluation

Meeting observation

Document review

Key informant interviews, including partnership module

Process tracking

Analysis and synthesis

Non-participant observation and note-taking

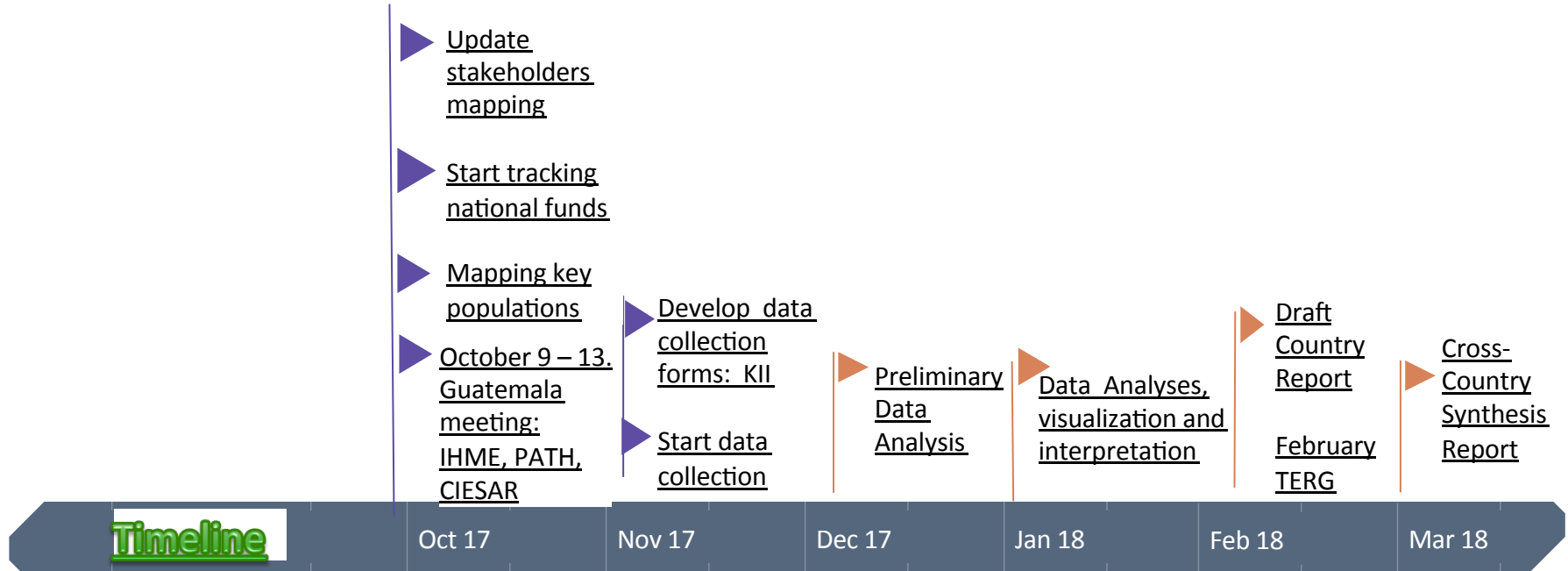
Systematic review and coding

Interviews with key stakeholders

Process maps

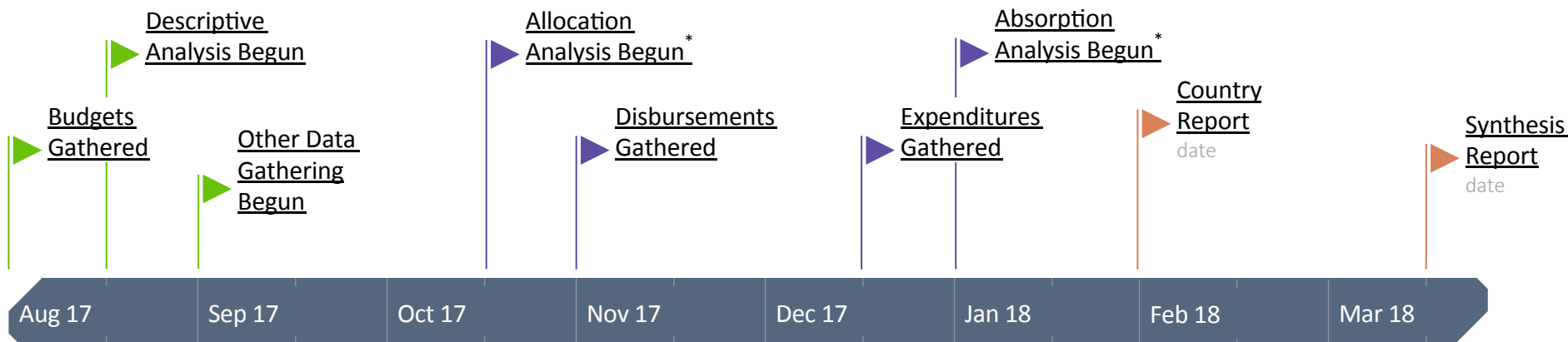
Triangulation across multiple sources

Progress – Process Evaluation Timeline



Planned PCE Activities – Resource Tracking

Data gathering	GFATM & non-GFATM budgets, disbursements & expenditures
Descriptive analysis	Distribution of resources by cost and geography
Allocation analysis	Distribution of resources compared to need*
Absorption analysis	Lag between disbursement and expenditure*



*Results from these analyses may not be complete by February/March reports

Progress – Resource Tracking

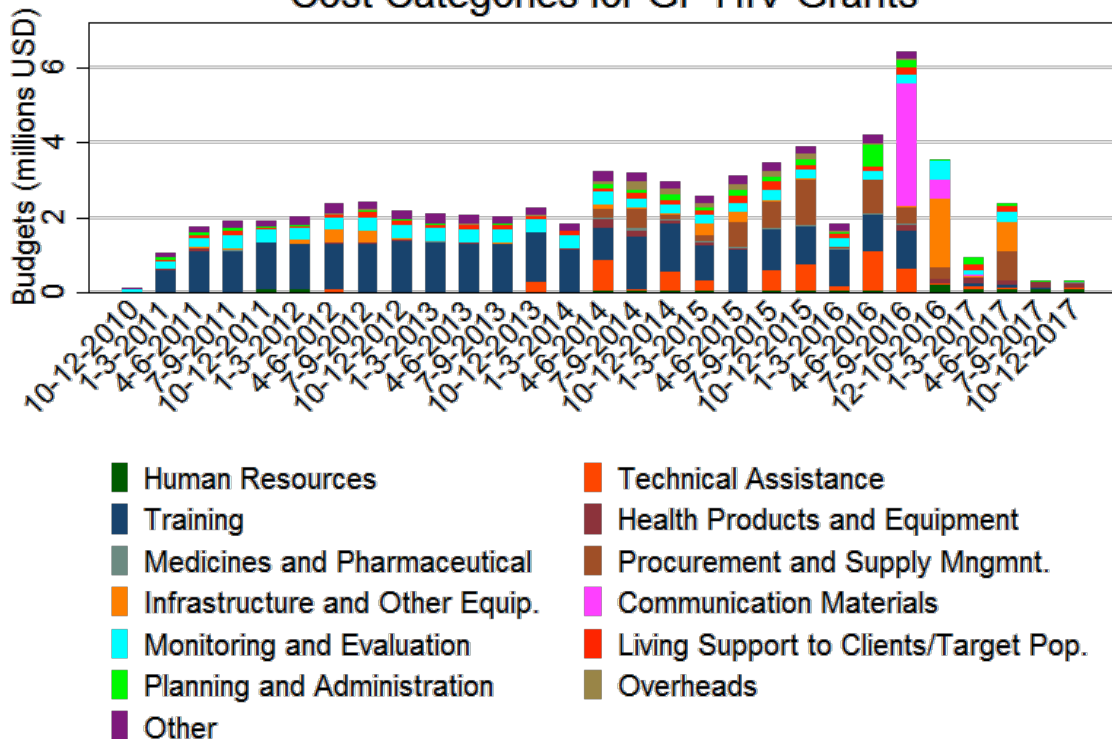
Data gathering

- GFATM Budgets: 2010-2019
- National AIDS Spending Assessments: 2005, 2009-2010, 2013-2015
- National Health Accounts: 1995-2013

Descriptive analysis

- Data exploration underway

Cost Categories for GF HIV Grants



Planned PCE Activities – Impact Assessment

Data inventory

Data access

Secondary data analysis

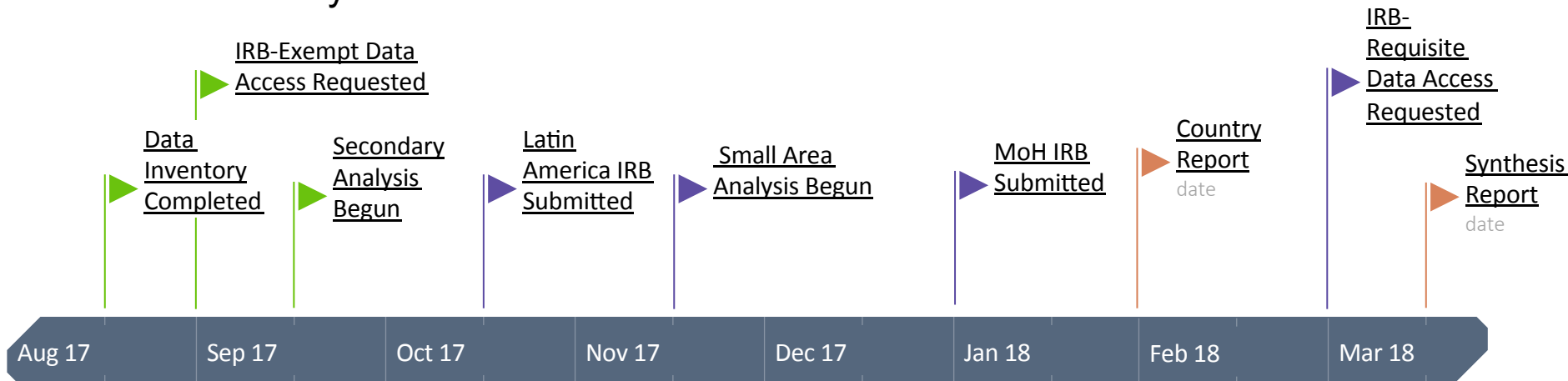
Small area analysis

Systematic mapping of sources and content

HMIS, survey & surveillance (some requiring IRB)

Existing data

Production of new small area estimates*



*Results from these analyses may not be complete by February/March reports

Progress – Impact Assessment

Data mapping

- 56 data sources identified

Data Contents Across Documented Sources (% of sources)

	HIV	TB	Malaria
Incidence / Prevalence	52%	7%	7%
Treatment	36%	7%	5%
Prevention	34%	7%	57%
Other	27%	5%	5%

Key Data Sources

HIV

Primary Data

SIGSA ART Registry

ANC Surveillance

Reproductive healthcare study among CSW in Escuintla 2008

Estudio TRaC 2012

VICITS Guatemala 2007-2015

TB

National TB Surveillance System

SIGSA TB Registry

Malaria

National Malaria Surveillance

Multi-sectoral initiative for prevention, control and pre-elimination of Malaria 2011-2019

Cross-cutting

ENSMI 2008-2009, 2014-2015

National Vital Registration System

National Distribution Systems distribution records

Plan for in-country dissemination

- Periodic e-mails to Stakeholders, forming a “PCE group” to facilitate delivery of key messages and information on advances, outcomes, methods, schedules, etc.
- Targeted e-mails concerning specific issues and programming of activities, which involve only certain stakeholders.
- Targeted briefing meetings with PR, LFA, CCM Secretary and Board, National Programs, particularly when PCE is addressing specific issues
- Periodic updates during CCM routine meetings with Board and Assembly representatives
- KII which can serve as part of the PCE as to keep briefing Stakeholders on advances and outcomes
- Online posting of Advance Reports and Final Report
- Social Networking in Facebook and other online nets
- Midterm and Final Workshop to socialize major results
- Other mechanisms to be designed along the way, according to circumstances, for example, briefings with groups of stakeholders when they have their own meetings.

Next steps

- Ongoing discussions around primary data collection
 - HIV cascade of care and VL measurement
- Complete IRB procedures and ethical approval process
 - Latin American National Committee
 - MoH Committee
- Advisory Panel
 - Multi-institutional group (individual expertise) vrs. Existing Local Institution (independent, knowledge and expertise in ATM)
 - Local Branch of CDC for Central American Region
 - National Association (ASI = Asociacion Salud Integral) Dr. E. Arathon